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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

12/12/2008

LOUIS C. PAUL, ESQUIRE LOUIS C. PAUL & ASSOCIATES, PLLC 730 FIFTH AVENUE 9TH FLOOR NEW YORK, NY 10019

| EXAMINER | | | | |
|-------------|--------------|--|--|--|
| OLSON, ERIC | | | | |
| ART UNIT | PAPER NUMBER | | | |

1623

DATE MAILED: 12/12/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/627,994 | 07/28/2003 | Leslie Baumann | 81301.0001 | 4265 |

TITLE OF INVENTION: METHOD FOR TREATING DAMAGED SKIN

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$0 | \$0 | \$755 | 03/12/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 7590 12/12/2008 Certificate of Mailing or Transmission LOUIS C. PAUL, ESQUIRE I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. LOUIS C. PAUL & ASSOCIATES, PLLC 730 FIFTH AVENUE 9TH FLOOR (Depositor's name NEW YORK, NY 10019 (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/627,994 07/28/2003 Leslie Baumann 81301.0001 4265 TITLE OF INVENTION: METHOD FOR TREATING DAMAGED SKIN APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$755 \$0 \$0 \$755 03/12/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS OLSON, ERIC 1623 514-293000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| 10/627,994 | 07/28/2003 Leslie Baumann | | 81301.0001 | 4265 |
| 75 | 90 12/12/2008 | EXAMINER | | |
| LOUIS C. PAUL | , ESQUIRE | OLSON, ERIC | | |
| LOUIS C. PAUL & | ASSOCIATES, PLL | ART UNIT | PAPER NUMBER | |
| 730 FIFTH AVEN 9TH FLOOR NEW YORK, NY | | | 1623 DATE MAILED: 12/12/200 | 8 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 370 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 370 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | |
|---|---|---|--------|
| | 10/627,994 | BAUMANN ET AL. | |
| Notice of Allowability | Examiner | Art Unit | |
| | Eric S. Olson | 1623 | |
| The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31: | (OR REMAINS) CLOSED) or other appropriate comi RIGHTS. This application is 3 and MPEP 1308. | in this application. If not included nunication will be mailed in due cours subject to withdrawal from issue at the | |
| 1. This communication is responsive to <u>Applicant's communi</u> | ication submitted August 8, | <u>2008</u> . | |
| 2. ☑ The allowed claim(s) is/are <u>11-21,27-32 and 35-38</u> . | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority u a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). | e been received. e been received in Applica | ion No | om the |
| * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN | | le a reply complying with the requiren | nents |
| THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm | | XAMINER'S AMENDMENT or NOTIC | E OF |
| INFORMAL PATENT APPLICATION (PTO-152) which giv | res reason(s) why the oath | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mu | | | |
| (a) including changes required by the Notice of Draftsper | - | ew (PTO-948) attached | |
| 1) hereto or 2) to Paper No./Mail Date | = | | |
| (b) ☐ including changes required by the attached ExaminerPaper No./Mail Date | | | |
| Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in | | |) of |
| DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT | | | ne |
| | | | |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) | 5 Notice of | Informal Detaut Application | |
| Notice of References Cited (FTO-092) Dotice of Draftperson's Patent Drawing Review (PTO-948) | | Informal Patent Application Summary (PTO-413), | |
| Information Disclosure Statements (PTO/SB/08), | Paper No | o./Mail Date 's Amendment/Comment | |
| Paper No./Mail Date <u>12/17/2003</u> , <u>08/08/2008</u> | | | |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material | 8. 🛚 Examiner | s Statement of Reasons for Allowanc | е |
| | 9. 🔲 Other | • | |
| | /Shaojia Anna Supervisory F | a Jiang/ atent Examiner, Art Unit 1623 | |
| | | | |



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Examiner's Amendment

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Louis Paul on November 25, 2008.

Claims 1-10, 22-26, 33, and 34 are cancelled.

Detailed Action

This office action is a response to applicant's communication submitted August 8, 2008 wherein rejections of record in the previous office action are traversed. This application was filed July 28, 2003 and makes no priority claims.

Claims 11-21, 27-32, and 35-38 are pending in this application.

Claims 11-21, 27-32, and 35-58 as amended are examined on the merits herein.

Reasons for Allowance

The declaration of Leslie Baumann, submitted August 8, 2008 under 37 CFR 1.131 has been fully considered and entered into the record. The declaration is seen to be persuasive to establish a date of conception of at least June 20, 2002, when the invention disclosure discussed in the declaration. Furthermore other documents such as the draft of the pilot study revised March 8, 2002 and November 2002, show diligence in reducing the invention to practice. Therefore the declaration is seen to be persuasive to remove the rejection of claims 11-17, 19-21, 27-29, and 31 under 35 USC 102(e) for being anticipated by Miller et al., because the claimed invention is seen to antedate the effective filing date of Miller et al. Therefore the rejection is withdrawn.

The above examiner's amendment cancelling claims 33 and 34 is seen to be persuasive to remove the rejection of said claims under 35 USC 112, first paragraph for lacking enablement as the claims re no longer pending. Therefore the rejection is withdrawn.

Claims 11-21, 27-32, and 35-38 are pending in this application and are examined on the merits herein.

The amendment and arguments submitted August 14, 2008 as discussed above are seen to be persuasive to remove all rejections of record in the previous office action and to place the application in condition for allowance. Reasons for allowance are as follows:

The claimed subject matter is seen to be adequately described and enabled by the specification as originally filed. For example, the summary on pp. 3-4 of the specification as originally filed demonstrates that Applicant was in possession of the claimed methods of treating fine lines and wrinkles at the time of filing. The examples of therapeutic protocols provided on pp. 11-12 serve to enable one skilled in the art to make and use the claimed methods. Therefore the claimed invention meets the requirements of 35 USC 112.

Furthermore the claimed invention is seen to be novel and non-obvious over the prior art. Although imiquimod is known in the prior art as a topical treatment for warts, it is not known in the prior art to administer it to an area of skin not suffering from warts, cancer, or precancerous growth. Furthermore, one of ordinary skill in the art would not have considered it obvious to administer it to a patient suffering only from fine lines or wrinkles, as these are very different conditions from warts or skin cancer. Still further, the reference Miller et al. (of record in previous action) has been cited as prior art against this application under 35 USC 102(e) as it teaches the same invention, namely

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a method comprising administering imiquimod to an area of skin demonstrating fine lines or wrinkles. However, the declaration of Leslie Baumann under 37 CFR 1.131 demonstrates a date of conception prior to the effective filing date of March 13, 2003 of Miller et al. Therefore the instant application is seen to antedate Miller et all. For there reasons the claimed invention meets the requirements of 35 USC 102 and 103.

Accordingly, The declaration of Leslie Baumann presented August 8, 2008, and the accompanying examiner's amendment, are sufficient to remove all rejections made in the prior office action as discussed above and to place the application in condition for allowance.

Any comments considered necessary by Applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled, "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eric S. Olson whose telephone number is 571-272-9051. The examiner can normally be reached on Monday-Friday, 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shaojia Anna Jiang can be reached on (571)272-0627. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Eric S Olson/ Examiner, Art Unit 1623 12/1/2008

/Shaojia Anna Jiang/ Supervisory Patent Examiner, Art Unit 1623